

# Interview Summary

Application No.  
09/127,341

Applicant(s)  
DeAddio et al

Examiner  
Daniel Felten

Art Unit  
3624

All participants (applicant, applicant's representative, PTO personnel):

(1) Daniel Felten

(3) Richard Carter, J.P. Morgan/Chase, London

(2) Ronald Abramson, (Reg. No. 34, 762)

(4) \_\_\_\_\_

Date of Interview Oct 8, 2003

Type: a) ☐ Telephonic b) ☐ Video Conference  
c) ☒ Personal [copy is given to 1) ☐ applicant 2) ☒ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No. If yes, brief description:

Claim(s) discussed: \_\_\_\_\_

Identification of prior art discussed:

Kleckner WO 94/20912

Agreement with respect to the claims f) ☐ was reached. g) ☐ was not reached. h) ☒ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments:

A discussion of new claims to be presented, based on the separation of the representation of a financial instrument from the processors that act upon the representation ensued. The newly presented claims will be submitted by Mr. Abramson and considered via RCE.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

i) ☐ It is not necessary for applicant to provide a separate record of the substance of the interview (if box is checked).

Unless the paragraph above has been checked, THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached

HANI M. KAZIMI  
PRIMARY EXAMINER

Daniel S. Felten  
DANIEL S. FELTON  
A.V. 3624  
Business Method

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required